

STANDARDS OF PRACTICE

Responsibility for a Medical Practice

Under Review: No

Issued By: Council: January 1, 2010 (Direction & Control of a Medical Practice)
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The <u>Standards of Practice</u> of the College of Physicians & Surgeons of Alberta ("CPSA") are the <u>minimum</u> standards of professional behavior and ethical conduct expected of all regulated members registered in Alberta. Standards of Practice are enforceable under the <u>Health Professions Act</u> and will be referenced in the management of complaints and in discipline hearings. CPSA also provides <u>Advice to the Profession</u> to support the implementation of the Standards of Practice.

- 1. A regulated member **must** direct and take responsibility for his/her medical practice, including:
 - a. patient care provided, including the assessment, diagnosis, treatment, advice given and <u>referral</u> of the patient; and
 - b. compliance with all applicable laws, regulations and standards governing the practice of medicine.
- 2. A regulated member **must** also direct and take responsibility for the following, except where a Medical Director has responsibility:
 - a. all non-regulated staff supervised by the regulated member by:
 - i. setting appropriate roles and responsibilities;
 - ii. ensuring appropriate qualifications; and
 - iii. overseeing performance;
 - b. all regulated staff participating in the practice by ensuring:
 - i. appropriate qualifications; and
 - ii. effective collaboration in a team-based setting;
 - c. <u>billing</u> for medical practice;
 - d. advertising and promotion of services;

Terms used in the Standards of Practice:

- "Regulated member" means any person who is registered or who is required to be registered as a member of this College. The College regulates physicians, surgeons and osteopaths.
- "Must" refers to a mandatory requirement.
- "May" means that the physician may exercise reasonable discretion.
- $\bullet \text{ ``Patient'' includes, where applicable, the patient's legal guardian or substitute decision maker.}\\$



- e. quality assurance and quality improvement;
- f. custody of health information, including maintenance and storage of medical records;
- g. notification to CPSA at least 30 days prior to:
 - i. establishing or <u>moving</u> the physical location of a practiceⁱ, providing the street address and services to be offered; or
 - ii. initiating or resuming a service or procedure that requires accreditation and/or approval by CPSA, as identified in the <u>CPSA Standards of Practice</u> or <u>CPSA bylaws</u>ⁱⁱ; and
- h. clear identification to patients and the public coming into the practice setting of the qualifications for all care providers (e.g., nametag or notice) that includes:
 - i. for regulated healthcare professionals, their name and professional designation; and
 - ii. for non-regulated care providers, their name and job title.
- 3. Regulated members practising in a multi-physician settingⁱⁱⁱ without a Medical Director **must** designate one individual to represent the practice in interactions with CPSA, either:
 - a. a medical lead, who is a regulated member, and accepts overall responsibility for any or all of subclauses 2(a) through (h); or
 - b. a contact person who is a regulated member.
- 4. Notwithstanding the above, clauses (2) and (3) **may not** apply to a regulated member working in a hospital or facility operated by government or a provincial health authority.

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RELATED STANDARDS OF PRACTICE

- Advertising
- Charging for Uninsured Professional Services
- Closing or Leaving a Medical Practice
- Patient Record Retention
- Re-Entering a Medical Practice or Changing Scope of Practice
- Referral Consultation
- Relocating a Medical Practice
- Supervision of Restricted Activities

COMPANION RESOURCES

- Advice to the Profession:
 - Advertising
 - Charging for Uninsured Professional Services
 - Medical Practice Observation
 - o Physicians as Custodians
 - o Referral Consultation
 - o Responsibility for a Medical Practice
- CMPA's The Most Responsible Physician

Terms used in the Standards of Practice:

Excluding a hospital or facility operated by government or a provincial health authority.

[&]quot;See <u>Medical Services Requiring Accreditation Outside of Hospitals</u>, <u>Practising Outside of Established</u> <u>Conventional Medicine</u>, <u>Reprocessing of Medical Equipment</u> and <u>CPSA bylaws</u>.

[&]quot;For the purposes of this standard, "multi-physician setting" refers to any practice arrangement between regulated members in which they share the use, benefits or costs associated with any of the following:

a. advertising;

b. office telephone number;

c. medical records;

d. clinical or administrative functions (i.e., infection prevention and control, billing, etc.)

e. premises, equipment, furnishings or other property; and/or

f. staff.

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